



# Histologic and molecular features of small well-differentiated pancreatic neuroendocrine tumors associated with the development of liver metastases

Paula Jiménez-Fonseca<sup>1</sup>, Patricia Morales del Burgo<sup>2</sup>, Felipe Alvarez-Manceño<sup>3</sup>

<sup>1</sup>Department of Medical Oncology, Hospital Universitario Central de Asturias, Oviedo, Spain; <sup>2</sup>Department of Pathology, Hospital Universitario Central de Asturias, Oviedo, Spain; <sup>3</sup>Department of Pharmacology, Hospital Universitario Central de Asturias, Oviedo, Spain

*Correspondence to:* Paula Jiménez-Fonseca. MD, PhD. Department of Medical Oncology. Hospital Universitario Central de Asturias, Avenida de Roma s/n, 33011, Oviedo, Spain. Email: palucaji@hotmail.com.

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The incidence of neuroendocrine neoplasms (NEN) has grown by a factor of 6.4 between 1973 and 2012 (1). According to the United States Surveillance, Epidemiology, and End Results (SEER), some 50% of all NEN,  $3.56 \times 10^5$ , are gastroenteropancreatic (GEP) in origin, including 1.05, 10.4,  $0.48 \times 10^5$  of the small intestine, rectum, and pancreas, respectively. Like the Spanish group, others have reported a higher incidence of pancreatic NEN (P-NEN) (2). Given its typically indolent course, GEP-NENs are the second most prevalent tumors of the digestive system, surpassed only by colorectal cancer, underscoring the importance of understanding them, their diagnosis, and efficient treatment strategies.

In 2017, the World Health Organization (WHO) updated its classification which is probably the only universally accepted tool to stratify patients with NEN into prognostic groups, integrating clinical and pathological variables, such as grade, Ki-67 proliferative index, tumor size, TNM stage, lymphovascular invasion, and functionality (3). However, the cut-off values used are somewhat arbitrary and group them into three grades (G) (G1: Ki-67 <3%, G2: Ki-67 3–20%, and G3: Ki-67 >20%), which entails the loss of prognostic information that the Ki-67% would contribute as a continuous variable (2). Moreover, grade and Ki-67 depend on a pathologist, with potential inter-observer variability.

Different studies have demonstrated that, unlike with other digestive cancers, grade or Ki-67 have greater

prognostic weight in NENs than stage (4). Thus, the SEER data show a median overall survival (mOS) of >30 years, 10.2 years, and 12 months for localized, regional, and distant NENs, respectively (1). For their part, mOS was 16.2 years, 8.3 years, and 10 months para G1, G2, and G3 NEN, respectively. These data reveal the worse prognosis of G3–4, with a mOS of less than 12 months regardless of stage and location, than advanced NEN. In contrast and unlike G3 NEN, the behavior of well-differentiated neoplasms is deemed fairly unpredictable and impacted by well-known factors such as site, proliferative index, and by others that have yet to be established, such as different genetic factors (5). In the SEER series, the best prognosis was associated with NENs in the rectum (mOS: 24.6 years) and appendix (>30.0 years), while P-NENs (3.6 years) and NENs in the lung (5.5 years) had the worst prognosis (1). Data from 2,813 cases in the Spanish Group of Neuroendocrine and Endocrine Tumor registry (R-GETNE) reveal different results, with better prognosis for P-NENs. Prognosis was good for NENs of the appendix, jejunum-ileum, or duodenum; intermediate for gastric or P-NENs, and poor for colon, rectum, hepatobiliary, or esophageal NENs (2). However, these figures are substantially influenced by grade and stage, which were not evenly distributed among different primary tumor sites (2).

Limitations of other previous studies regarding prognostic factors include highly heterogenous NEN

**Table 1** Univariate and multivariate analyses of variables and molecular subtypes predictive of liver metastases

Variables and molecular subtypes	Univariate analysis		Multivariate analysis	
	Type/definition of variable	P	OR	P
Clinical data associated with liver metastases				
Sex, %	Categorical	0.825		
Age, median	Continuous	0.483		
Location of tumor (head & uncinate vs. body & tail)	Categorical	0.115		
Tumor size, median	Continuous			
Tumor size (<1 vs. 1–2 vs. 2.01–3 cm)	Categorical	0.023		
Pathological data associated with liver metastases				
Ki-67 index*	Continuous	≤0.001	1.37	0.002
WHO grade (1 vs. 2)	Categorical	0.001		
Lymphovascular invasion	Categorical	0.057		
Perineural invasion	Categorical	0.003		
T stage (1 vs. 2 vs. 3)	Categorical	0.215		
N stage* (x=0 vs. 1)	Categorical	≤0.001	4.57	0.009
Biomarker data associated with liver metastases				
ALT-positive*	≥1% of tumor cells with bright nuclear foci	≤0.001	3.49	0.035
DAXX-negative	Nuclear expression completely lost	0.008		
ATRX-negative	Nuclear expression completely lost	0.668		

\*, P<0.05. ALT, alternative lengthening of telomeres; M1, metastases; OR, odds ratio.

populations, with multiple confounding factors and disparate follow-up times that limit their applicability.

In the study by Pea *et al.*, 87 sporadic, unifocal, localized, well-differentiated, grade 1–2, nonfunctional P-NENs <3 cm (6) were included. It was a multicenter (one hospital in the United States and three in Europe), retrospective study of a small, albeit very homogenous, well-characterized series. All the tumors had been resected and patients followed for 5 years. Of them, 32 displayed hepatic recurrence and 55 were tumor-free 5 years out. Twenty-four from each group that coincided, according to WHO grade and tumor size, were selected for analysis.

Clinical, pathological, and molecular variables were evaluated through uni- and multivariate analyses and are exhibited in *Table 1*. Despite being morphologically similar P-NENs, biological variability was confirmed with potential prognostic implications.

The three variables associated with developing hepatic metastases were: (I) high Ki-67, assessed as a continuous variable, of between 1–20% (OR 1.369); (II) presence of

loco-regional node involvement, N1-stage (OR 4.568), and (III) alternative lengthening of telomeres (ALT)-positivity defined as ≥1% of tumor cells with bright nuclear foci in at least 500 neoplastic cells evaluated (OR 3.486). In this way, the added prognostic value of two well-known clinical-pathological variables, the proliferative index and stage (N), with a third, more recently recognized molecular variable, ALT, was demonstrated (7).

Furthermore, three molecular subtypes were established based on their chromosomal alterations with varying risk of developing hepatic metastases (*Table 2*). The distribution of P-NENs by molecular subtype was 31%, 40%, and 29% in group 1, 2, and 3, respectively. A correlation was confirmed between recurrent chromosomal gains, ALT, ATRX/DAXX mutation, loss of ATRX/DAXX nuclear protein expression, high proliferative index (73% were G2), and elevated metastatic potential (73% developed hepatic metastases) in group 1. In group 2, 42% developed hepatic metastases despite the fact that most were P-NEN G1; i.e., with low Ki-67. This group exhibited few mutational

**Table 2** Molecular subtypes established on the basis of their chromosomal alterations

Molecular subtypes	Chromosomal events	ALT	DASS/ATRX	Liver M1 (%)
1	Gain	Positive	Mutation	73
2	Limited	Negative	Wild-type	42
3	Loss (chromosome 11-MEN1)	Negative	Wild-type	35

ALT, alternative lengthening of telomeres; M1, metastases.

and chromosomal alterations. Group 3 had the lowest metastatic potential, with 35% hepatic metastases, and was characterized by recurrent chromosome loss, mainly affecting chromosomes 11 (69% MEN1 locus somatic alterations) and 22. No correlation was observed between MEN and ALT. In short, ALT-positive tumors were more aggressive and displayed a higher prevalence of hepatic metastases, whereas those with MEN1 abnormalities were associated with lower risk.

The authors conclude by suggesting that Ki-67 and ALT be integrated into the diagnostic pathological study, given that they can be useful when deciding on treatment and during follow up.

Given the limited sample size and retrospective nature of the data, independent validations would be appropriate prior to recommending that ALT be extensively used in association with Ki-67 to inform the approach to localized P-NEN; even more so bearing in mind the findings from the multivariate analysis and the study of molecular subgroups.

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## Footnote

*Conflicts of Interest:* The authors have no conflicts of interest to declare.

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