

Intra-arterial embolotherapy for intrahepatic cholangiocarcinoma: update and future prospects

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Abstract: Intrahepatic cholangiocarcinoma (ICC) is a rare disease and carries a poor prognosis with surgery remaining the only curative treatment option. However, due to the late presentation of symptoms and close proximity of the tumors to central hepatic structures, only about 30% of patients are classified eligible to resection. As for palliative approaches, ICC constitutes a possible indication for loco-regional therapies (LRT). As such, intra-arterial therapies (IAT) are reported to be feasible, safe and effective in inducing tumor response in unresectable ICC. The paradigm of IAT is premised on the selective delivery of embolic, chemotherapeutic agents to the tumor via its feeding arteries, thus allowing dose escalation within the carcinoma and reduction of systemic toxicity. Conventional transcatheter arterial chemoembolization (cTACE) so far remains the most commonly used IAT modality. However, drug-eluting beads (DEB)-TACE was initiated with the idea of more selective targeting of the tumor owing to the combined embolizing as well as drug-eluting properties of the microspheres used in this setting. Moreover, radioembolization is performed by intra-arterial administration of very small spheres containing β -emitting yttrium-90 (Y90-RE) to the site of the tumor. Clinical evidence exists in support of survival benefits for IAT in the palliative treatment of ICC compared to surgery and systemic chemotherapy. As for combination regimens, cTACE, DEB-TACE and Y90-RE are reported to achieve conversion of patients to surgery in a sequential treatment planning and simultaneous IAT combinations may provide a therapeutic option for treatment escalation. Regarding the current status of literature, controlled randomized prospective trials to compare different IAT techniques and combination therapies as well as treatment recommendations for different IAT modalities are needed.

Keywords: Transarterial chemoembolization; radioembolization; drug-eluting beads (DEB); intra-arterial therapies (IAT)

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Introduction

Cholangiocarcinoma is a rare disease that represents 10% of primary liver malignancies with an incidence of 1–2/100,000 in the U.S. and Europe and higher rates in Asian countries (1,2). Cumulative mortality rates have increased by 39% between 1979 and 2004. This was mainly attributable to rising incidence rates, especially in the group of patients

≥ 65 years, in which also 72% of cholangiocarcinoma related deaths occurred in 2004 (3). The classification of the disease is based on the anatomic location of the tumor and differentiates between intra- and extrahepatic cholangiocarcinoma. Intrahepatic cholangiocarcinoma (ICC) constitutes no more than 5–15% of all cases (4). As for patient outcome, the prognosis of the disease is dismal

and surgical resection is the only curative treatment option with five-year survival rates varying from 14% to 40% (5). Primarily because most patients present at advanced stages due to unspecific clinical symptoms and also because of the oftentimes central localization of the lesions within the liver (6), surgical therapy is only possible in about 30% of the cases (7). As for systemic chemotherapy, regimens containing gemcitabine and cisplatin have been reported as effective in patients with unresectable ICC. However, the median overall survival (OS) rate for such regimen did not exceed one year (8). Over the last decade, the use of image-guided loco-regional therapies (LRT) as a palliative option in unresectable ICC has become increasingly accepted among multidisciplinary teams that manage this subset of liver cancer patients. Of all LRT, catheter-based intra-arterial therapies (IAT) are the most commonly used approaches for the treatment of ICC. In this setting, embolic materials and/or chemotherapeutic agents or internal radiation can be delivered directly to the tumor through the tumor-feeding arteries. Hence, selective payload delivery results in two major benefits of IAT: achievement of high doses of the cytotoxic payload within the tumor tissue while significantly reducing its systemic distribution, thus lowering the risk of adverse events.

While healthy liver tissue predominantly obtains its blood supply from portal vein branches, feeding vessels of liver malignancies mainly derive from the hepatic artery, which constitutes the essential condition for selectively targeted IAT. However, most ICC lesions are hypovascular when diagnosed on contrast-enhanced cross-sectional imaging and may exhibit extensive fibrosis, which can be frequently observed in tumor resectates. Theoretically, these characteristics may reduce the penetration of the tumor with the intra-arterially delivered payload, thus making IAT less effective (9-11). Nevertheless, there is growing evidence for the ability of IAT to achieve high tumor response rates, which might very well result in survival benefits for patients with this liver-dominant disease. The most commonly used techniques of intra-arterial embolotherapy are conventional transcatheter arterial chemoembolization (cTACE), drug-eluting beads (DEB)-TACE and Yttrium-90 radioembolization (Y90-RE) (Figure 1). In this review, we will describe the technical background of the aforementioned techniques and provide an overview of the available clinical evidence for the use of those treatment modalities in the therapy of unresectable ICC.

Hence, the bibliographic database of PubMed was screened for prospective and retrospective original articles using the search terms “TRANSARTERIAL” or “TRANSCATHETER

ARTERIAL CHEMOEMBOLIZATION”, “DRUG-ELUTING BEADS”, “RADIOEMBOLIZATION”, “YTTRIUM” and according abbreviations in combination with “CHOLANGIOCARCINOMA” as the investigated tumor entity (Figure 2).

cTACE—background

Conventional TACE is the most commonly used intra-arterial modality in unresectable ICC. Initially introduced for the therapy of hypervascular hepatocellular carcinoma lesions in the late 1970s, the broad clinical application of cTACE has established this technique as a safe and effective treatment option for several other liver malignancies (12). During cTACE, an emulsion of chemotherapeutics and an oil-based contrast agent (Ethiodol or Lipiodol) is injected into the tumor-supplying branch of the hepatic artery, followed by the administration of an embolizing agent. Due to the predominant central location of ICC within the liver, tumor-feeding vessels may derive from both hepatic arteries. Consequently, separate angiographic evaluation of the right and left hepatic artery is required to ensure selective targeting of the tumor (Figure 3).

The most commonly used drug combination in the US and Europe consists of doxorubicin, cisplatin and mitomycin-C, but gemcitabine has also been used (13,14). The drug cocktail is brought into emulsion with Lipiodol, which has a dual function as a drug carrier as well as an embolic agent, that is able to penetrate the tumor vasculature up to the capillaries (12). Upon deposition of the Lipiodol-drug mix, the occlusion of more proximal arterial blood vessels is achieved by the injection of embolic materials such as gelfoam, polyvinyl-alcohol (PVA) particles or trisacryl gelatin (TG) microspheres. This step devascularizes the tumor tissue and is primarily performed in order to prevent the washout of the previously deposited payload (15) (Figure 4). Generally, TACE is tolerated well by the majority of patients without major adverse events. However, abdominal pain, nausea, fever and increase in liver enzymes (limited to 3–4 days without the evidence of sepsis) are frequent transient minor side effects after cTACE procedures and commonly referred to as post-embolization syndrome (16-18).

cTACE—clinical evidence

Most studies that investigate clinical outcomes in ICC treated with cTACE are retrospective and do not use a

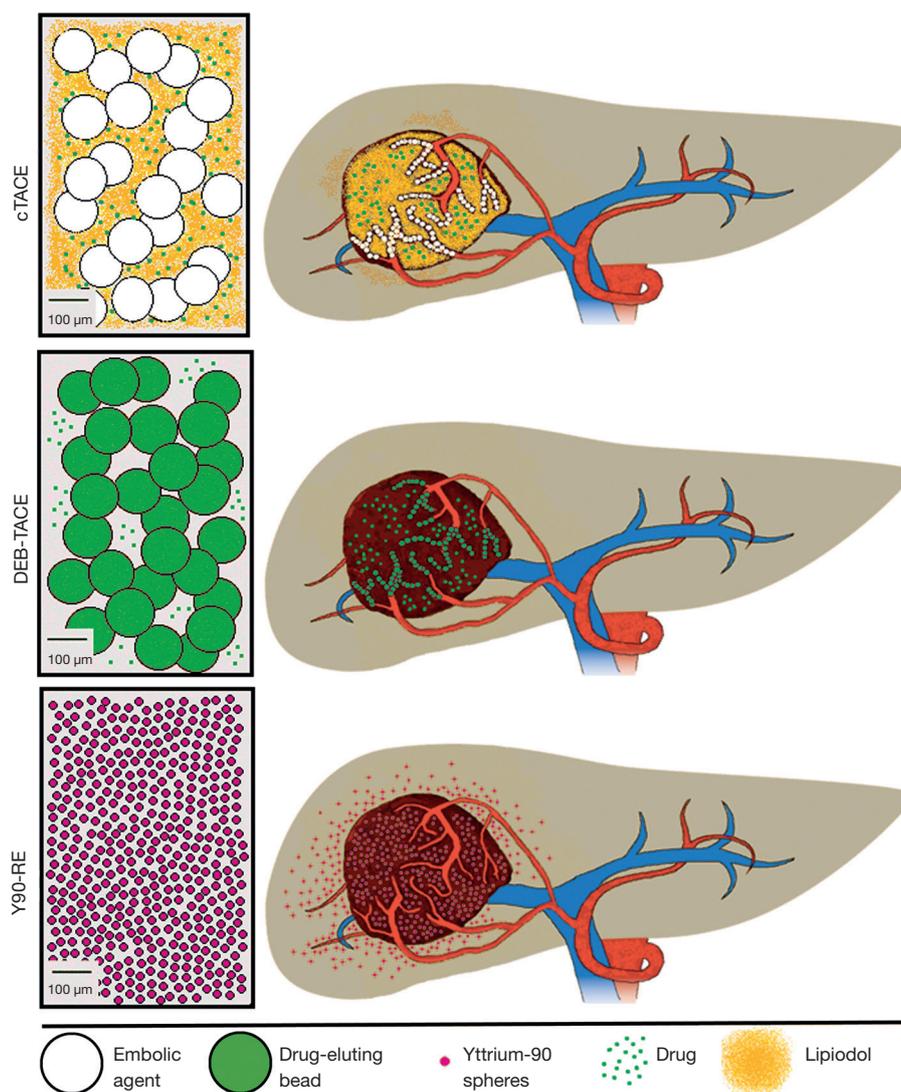


Figure 1 Overview: intra-arterial therapies for intrahepatic cholangiocarcinoma. During conventional transcatheter arterial chemoembolization (cTACE), an emulsion of chemotherapeutic drugs and Lipiodol is delivered selectively to the tumor, followed by embolization of the tumor-feeding arteries. Drug-eluting beads (DEB)-TACE is performed highly selectively using spheres with a dual drug-releasing and embolic potential. The concept of yttrium-90 radioembolization (Y90-RE) consists of the delivery of a β -emitting payload to the tumor in a less selective, lobar fashion. Due to the small size of the microspheres, they have less embolic potential but penetrate deeply into the tumor.

standardized procedure protocol. However, the available literature suggests potential survival benefits in patients with unresectable lesions (19). As such, a retrospective trial included a total of 42 patients who underwent cTACE with different regimens consisting of gemcitabine (2,250 mg/m²) combined with or followed by cisplatin (100–125 mg/m²) and oxaliplatin (85–100 mg/m²). Nineteen patients (45%) were staged with extrahepatic disease. According to the

Response Evaluation Criteria in Solid Tumors (RECIST), 20 patients (48%) had stable disease (SD), 15 patients (36%) were found to have progressive disease (PD) and seven patients (17%) could not be evaluated. The median OS for the entire cohort was 9.1 months. Patients with SD showed a median OS of 13.1 months compared to 6.9 months for patients with PD (P=0.017). Moreover, a survival benefit was reported for patients treated with gemcitabine

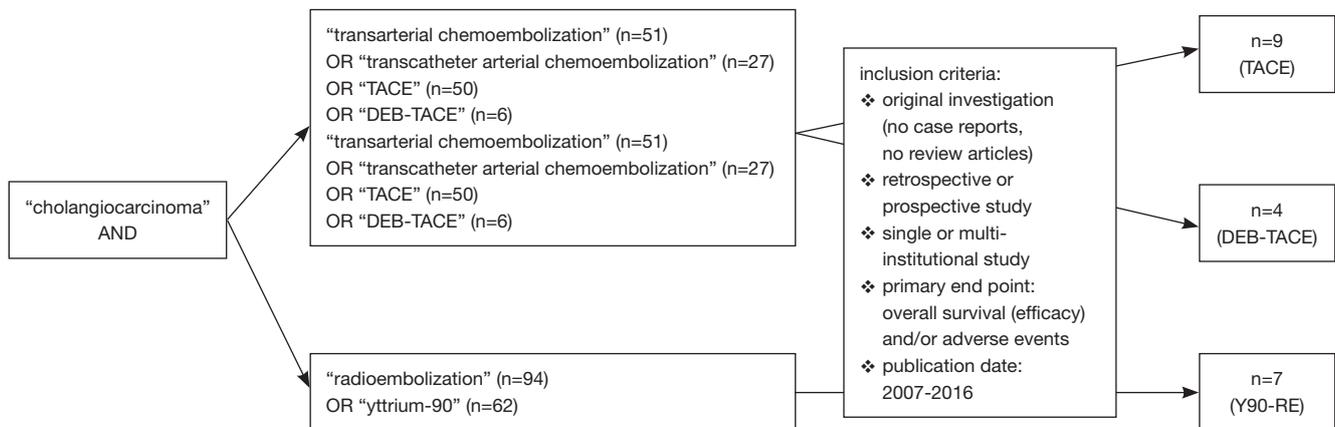


Figure 2 The flow chart illustrates the study selection criteria including the search terms and the most important inclusion criteria.

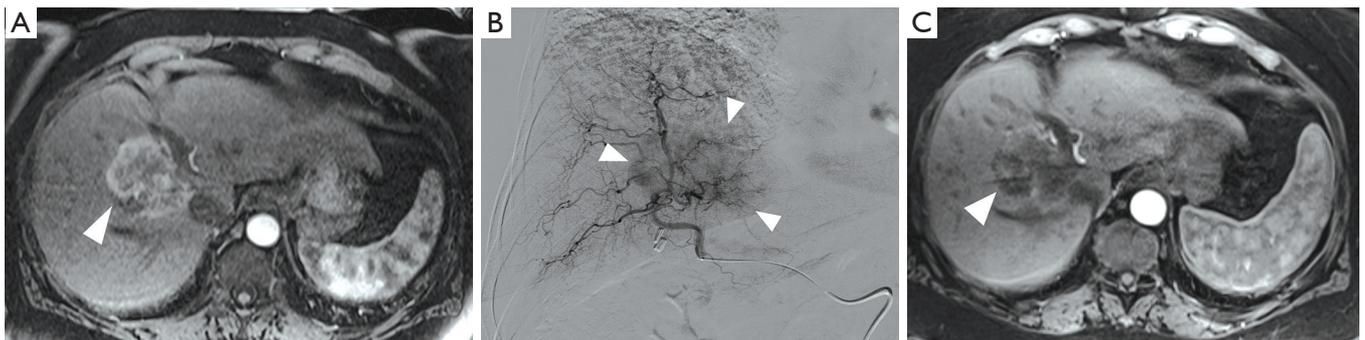


Figure 3 Transcatheter arterial chemoembolization of intrahepatic cholangiocarcinoma. (A) The baseline contrast-enhanced MRI (ceMRI) scan demonstrates a centrally-located lesion with partial enhancement in the arterial phase (white arrow). Biopsy confirmed intrahepatic cholangiocarcinoma (ICC); (B) during selective angiography of the right hepatic artery, significant tumor blush is observed in the right lobe (white arrows). Subsequently, conventional transcatheter arterial chemoembolization (cTACE) was performed to target the tumor; (C) the ceMRI scan performed one month after cTACE demonstrates central areas of decreased attenuation within the lesion, indicative of tumor necrosis (white arrow).

combined with cisplatin over those treated with gemcitabine alone (13.8 vs. 6.3 months, $P < 0.001$) (20).

Another chemotherapeutic agent that is commonly used for cTACE is mitomycin-c. With regards to this, a retrospective survival analysis included 15 patients for the palliative treatment with cTACE using mitomycin-c (10 mg) for 59 treatment sessions over a period of six years. The patients were diagnosed with inoperable ICC with a mean tumor diameter of 10.8 ± 4.6 cm and multifocal disease in seven patients. Previous treatments were reported for seven patients including liver resection (n=1, 6.7%), RFA (n=2, 13.3%) and systemic chemotherapy (n=4, 26.7%). One patient (6.7%) had liver cirrhosis, however, Child Pugh score was A in 14 (93.3%) and B in one patient (6.7%).

The authors reported SD in nine patients (59.9%) for best interim response to cTACE and Kaplan Meier analysis revealed a median OS of 16.3 months (95% CI, 9.4–32.5 months). One patient (6.7%) showed PR and four had PD (26.7%). As for severe adverse events, one patient (6.7%) developed anaphylactic reaction to iodine containing contrast agent and another patient (6.7%) presented with gastric ulcers due to Lipiodol displacement (21).

A more recent retrospective study was conducted to analyze survival benefits among all available therapeutic options for ICC. Out of 273 patients with ICC, 130 (47.6%) underwent surgical resection, 111 (40.7%) received systemic chemotherapy/best supportive care and a total of 32 (11.7%) underwent TACE with mitomycin-c (10 mg; n=29) or

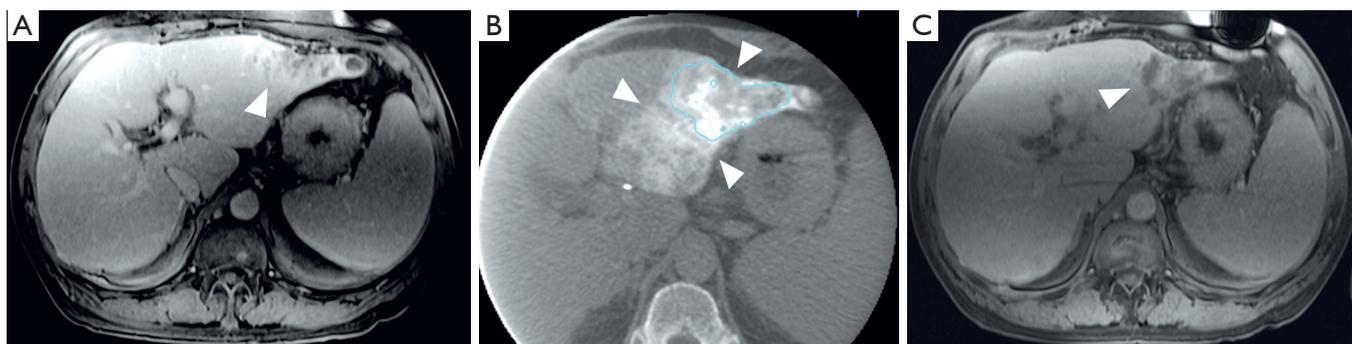


Figure 4 Transcatheter arterial chemoembolization of intrahepatic cholangiocarcinoma. (A) The baseline contrast-enhanced MRI (ceMRI) determines a mass-forming lesion in the left lobe with marginal enhancement in the portal-venous phase (white arrow). The patient was subjected to conventional transcatheter arterial chemoembolization (cTACE) for tumor treatment afterwards; (B) a cone beam computed tomography (CBCT) scan was performed intra-procedurally during the cTACE procedure. Imaging demonstrates the deposition of Lipiodol (white arrows) within the lesion (blue line); (C) the ceMRI scan performed one month after cTACE reveals hypoenhancing areas within the lesion that indicate necrosis in the portal-venous phase (white arrow). Necrosis was achieved in those areas with the highest Lipiodol deposition on the CBCT scan.

doxorubicin-eluting beads (n=3). Patients with extrahepatic disease were excluded. The median OS of surgical patients was 28 months, with significant variations between patients with positive lymph node status (N1; 9 months) or resection margin (R+; 11 months) compared to N0 (37 months, $P<0.001$) and R0 resection (37 months, $P<0.001$). The median OS for patients, who underwent TACE was 11 months. The authors concluded that surgery did not show a significant survival benefit for patients with R+ or N1 when compared to those treated with TACE (22).

Park *et al.* have also compared cTACE (n=72) with symptomatic supportive therapy (n=83) in the palliative treatment of 155 patients with unresectable ICC. Extrahepatic disease was found in 39 patients (54%) of the TACE cohort and in 50 patients (60%) of the supportive care group. After TACE with cisplatin at a dose of 2 mg/kg BW, PR was achieved as best tumor response to treatment in 15 patients (23%), SD was present in 44 patients (66%) and PD in seven patients (11%) according to RECIST at the 1-month follow-up (mean 1.1 ± 0.34 months). Survival analyses demonstrated significant prolongation of the median OS in the TACE group (12.2 months) compared to the supportive treatment group (3.3 months; $P<0.001$). Moreover, responders to TACE showed significantly higher median OS (22 months) compared to nonresponders (10.9 months; $P=0.0001$) according to RECIST (23).

The use of cTACE as an adjuvant therapy after radical surgery has been explored in a retrospective analysis in a larger cohort of 125 patients. Fifty-three (42%) out of 125

patients received cTACE with a variety of drug combinations [5-FU (500 mg), carboplatin (100 mg), epirubicin (20 mg), hydrocamptothecin and gemcitabine (1,000 mg)] and outcome was compared with the surgical control group. Patients treated with cTACE showed prolonged survival compared to the control group (1-, 3- and 5-year OS of 69.8%, 37.7% and 28.3% *vs.* 54.2%, 25.0% and 20.8%). The median OS in the adjuvant cTACE group was twelve months and surgery only resulted in a median OS of five months. However, cTACE did not delay the recurrence of the disease in this setting (14).

Recently, Yang *et al.* retrospectively analyzed the efficacy of TACE [gemcitabine (600–1,000 mg) and oxaliplatin (50–100 mg)] with simultaneous microwave ablation therapy in 26 patients with advanced ICC of whom 20 (76.9%) were newly diagnosed and 5 (19.2%) had recurrent tumors after initial resection. Patients with extrahepatic disease or previous systemic or radiation therapy were excluded from the analysis. Complete ablation was achieved in 36 of 39 lesions (92.3%) and residual tumor (R+) was identified at the 1-month follow-up in two patients with three tumors (7.7%). No major complications occurred and after a mean follow-up of 19.2 ± 6.3 months (range, 6–30 months), a promising median OS of 19.5 months and PFS of 6.2 months (range, 3–12 months) was reported. Thus, the authors claimed the combination therapy to be a safe and feasible alternative for patients with a maximum ECOG being 2. However, no matched pair analysis with a control group was performed (24).

One of the few available prospective trials included

115 patients with unresectable ICC who received cTACE with different combinations of mitomycin-c (8 mg/m^2), gemcitabine ($1,000 \text{ mg/m}^2$) and cisplatin (35 mg/m^2). The median OS was 13 months from initial embolization and no statistically significant differences were observed between regimens (18). A smaller study included 17 patients with unresectable ICC, who underwent a median of two cTACE sessions per patient. The regimen consisted of 50 mg doxorubicin, 100 mg cisplatin and 10 g mitomycin-c followed by embolization with PVA or Embosphere particles (Biosphere Medical, Rockland, MA). Six patients (35%) had received other palliative treatments prior to cTACE. The procedure was well-tolerated by a majority of patients (82%) and no major adverse events were reported. The median OS was 23 months from the time of diagnosis and two patients became surgically resectable following the cTACE procedure (9). In another prospective study, 62 patients with either ICC ($n=37$) or intrahepatic adenocarcinoma of unknown primary ($n=25$) were treated with a median of 2.7 cTACE sessions per patient using doxorubicin (50 mg), cisplatin (100 mg), mitomycin-c (10 mg) and PVA particles. Eighteen patients (29%) had previously received systemic chemotherapy and seven patients (11%) had prior liver resection. The median OS was 20 months from diagnosis and 15 months from first cTACE. Patients with prior chemotherapy had significantly prolonged survival compared to those who had received cTACE only (28 vs. 16 months; $P=0.02$) (13).

A recent prospective trial compared TACE with Y90-RE and radiofrequency ablation (RFA), *inter alia*. Fifty-five patients with unresectable ICC and good performance status (median ECOG 1 and Karnofsky-Index 70%) received single ($n=37$, 67.3%) or a combination of local therapies ($n=18$, 32.7%) including TACE [doxorubicin (2.5 mg/mL) and cisplatin (2.5 mg/mL); $n=2$] and Y90-RE (resin microspheres; $n=5$), high-dose rate brachytherapy (HDR-BT) + Y90-RE ($n=3$), TACE + intra-venous (i.v.) chemotherapy ($n=1$), Y90-RE + intra-arterial (i.a.) chemotherapy ($n=1$), HDR-BT + i.v. chemotherapy + Y90-RE ($n=2$) (rest: chemotherapy, HDR-BT-based combination therapies and RFA only). Y90-RE was preferably performed in multinodular disease whereas TACE was the favorable treatment modality in single lesions without portal vein thrombosis (PVT). Biologically aggressive tumors required additional systemic chemotherapy. As for local tumor control, TACE achieved PD and Y90-RE partial response (PR) for best tumor response and the median OS of all patients was 16 months from first local therapy and

33.1 months from diagnosis. The objective tumor response (liver only) was identified as one of the independent factors influencing OS with 29.8 months for complete response (CR) and PR and 9.3 months for SD and PD ($P=0.005$). No grade III or IV complications were observed but 43 patients died within the period of follow-up (median, 11.7 months; range, 0.9–52.2 months). Additionally, the authors identified the serum tumor markers CA19-9 and CEA, RECIST and the number of lesions as independent prognostic factors, whereas extrahepatic disease showed no correlation with patient survival (25) (*Table 1*). Similarly, ongoing prospective studies include the first randomized controlled trial to compare the efficacy of Y90-RE and cTACE in terms of radiographic response on contrast-enhanced MRI (ceMRI) scans in 24 patients with unresectable ICC (NCT01798147) (26).

As evidence in support of the efficacy of cTACE for unresectable ICC is continuously growing, Scherthaner *et al.* have recently introduced cone-beam (CB) CT as an innovative technique with high accuracy in the detectability of ICC lesions and accurate radiographic response evaluation after LRT. The retrospective analysis included 17 patients who underwent CBCT, digital subtraction angiography (DSA) and ceMRI directly prior to cTACE intervention. Interestingly, only 45.9% of the lesions were depicted by DSA whereas CBCT detected 73.8% (early phase) and 93.4% (late phase), suggesting the integration of CBCT for intra-procedural tumor detection and treatment planning (27) (*Figure 4*).

DEB-TACE—background

As the process of emulsification between Lipiodol and drugs is very unstable and the two components are likely to separate after intra-arterial injection, DEB were launched with the aim of reducing the risk of systemic distribution and increasing intra-tumoral drug concentrations. DEB-microspheres have a dual embolizing and drug-eluting potential and can be loaded with specific chemotherapeutic agents. Administered in the same manner as cTACE, the drug-eluting properties of the microspheres increase the exposure of the tumor to the drug. This is achieved by a local, controlled release of the chemotherapeutic agent for a prolonged period. Hence, this new modality was reported to optimize selective drug delivery to the tumor and to reduce systemic toxicity (28).

Currently, there are two different types of microspheres in use: PVA-based microspheres (LC Beads for the U.S., DC

Table 1 Current level of evidence for the treatment of intrahepatic cholangiocarcinoma with conventional transcatheter arterial chemoembolization (cTACE)

Investigators [year]	Study design	Anticancer agents (cTACE)	Control groups	Study cohort (n)	ECOG status	Extrahepatic lesions	Previous systemic chemotherapy	Adverse events (grade III/IV)	Median OS (mo from first cTACE)	Ref.
Gusani <i>et al.</i> [2008]	Retrospective	Gemcitabine, cisplatin, oxaliplatin	No	42	0-1	n=19 (45%)	N/A	Myocardial infarction (n=1), hepatic abscess (n=1), hyperbili-rubinemia (n=2), thrombocyto-penia (n=2), over sedation (n=1)	9.1	(20)
Herber <i>et al.</i> [2007]	Retrospective	Mitomycin-c	No	15	N/A	N/A	n=4 (27%)	Anaphylactic shock (7%), gastric ulceration (7%)	16.3	(21)
Scheuermann <i>et al.</i> [2013]	Retrospective	Mitomycin-c	Ctace (n=32) vs. surgery (n=130) vs. systemic chemotherapy (n=111)	273	N/A	No	N/A	Massive ascites (n=1), dissection or occlusion of the hepatic artery (n=2)	11	(22)
Park <i>et al.</i> [2011]	Retrospective	Cisplatin	cTACE (n=72) vs. supportive care (n=83)	155	0-2	n=39 (54%) in TACE group; n=50 (60%) in supportive care group	No	Haematological toxicity events (n=9, 13%), non-haematological toxicity events (n=17, 24%)	12.2	(23)
Shen <i>et al.</i> [2011]	Retrospective	Adjuvant: 5-FU, epirubicin, hydrocamptothecin, gemcitabine, carboplatin	Hepatic resection only (n=72) vs. adjuvant cTACE (n=53)	125	0-1	No	No	No	12	(14)
Yang <i>et al.</i> [2015]	Retrospective	Gemcitabine, oxaliplatin (ctace in combination with microwave ablation)	No	26	0-2	No	No	No	19.5	(24)
Vogl <i>et al.</i> [2012]	Prospective	Gemcitabine, mitomycin-c, cisplatin	No	115	N/A	N/A	N/A	No	13	(18)
Burger <i>et al.</i> [2005]	Prospective	Doxorubicin, cisplatin, mitomycin-c	No	17	0-3	n=5 (29%)	n=6 (35%)	Treatment-related mortality (6%), acute cholecystitis (n=1, 6%), ascites (n=1, 6%)	N/A (23 from diagnosis)	(9)

Table 1 (continued)

Table 1 (continued)

Investigators [year]	Study design	Anticancer agents (cTACE)	Control groups	Study cohort (n)	ECOG status	Extrahepatic lesions	Previous systemic chemotherapy	Adverse events (grade III/IV)	Median OS (mo from first cTACE)	Ref.
Kiefer et al. [2011]	Prospective	Doxorubicin, cisplatin, mitomycin-c	No	62	0–2	n=19 (31%)	n=18 (29%)	Pulmonary edema and elevated cardiac enzymes (n=1), pulmonary infarct (n=1), severe postembolization syndrome (n=1), hyper-glycemia (n=1), acute renal failure and dehydration postprocedure (n=1)	15	(13)

OS, overall survival; mo, months; N/A, not available.

Beads for Europe; Biocompatibles, BTG, UK), which are usually loaded with doxorubicin (DEBDOX) or irinotecan (DEBIRI) (29,30) and superabsorbent polymer (SAP) microspheres (QuadraSpheres for the U.S., HepaSpheres for Europe; Merit Medical, US) that can be loaded with a variety of drugs, such as irinotecan, anthracyclin antibiotics and platin-based chemotherapeutics (31,32).

DEB-TACE—clinical evidence

The use of oxaliplatin-preloaded (50 mg) microspheres (HepaSpheres, Biosphere Medical, France) combined with systemic chemotherapy (oxaliplatin and gemcitabine) in the treatment of hepatic malignancies (seven ICC) was examined in a small retrospective comparative study including nine patients. The cohort that received DEB-TACE was compared to a retrospectively acquired group of eleven patients, who were treated with chemotherapy (FOLFOX) only. With one exception, Child Pugh class B and C as well as extrahepatic disease were exclusion factors in both groups. According to RECIST criteria, four patients (44%) in the DEB-TACE group achieved PR and five patients (56%) showed SD. The median OS after DEB-TACE and chemotherapy was 30 months compared to 12.7 months for chemotherapy alone (33).

A prospectively designed multi-institutional review included 24 patients with unresectable ICC and a mean number of two target lesions (range, 1–5) who were treated with total of 42 DEB-TACE sessions. Ten patients (41.7%) presented with recurrent ICC after resection (n=7) or RFA (n=2) and 20 patients (83.3%) had received chemotherapy before. The DEB-TACE regimen using DC/LC Beads (Biocompatibles, Farnham, UK) consisted of doxorubicin (150 mg) and irinotecan (75 mg; range, 40–100 mg) and was combined with systemic chemotherapy in eight patients (33.3%). The mean tumor diameter was relatively large with 11.5 cm (range, 4–33.3 cm) and ten patients (41.7%) presented with extrahepatic disease. As for treatment efficacy, the median OS was 17.5 months and three patients (12.5%) were converted to surgical resection postprocedurally. However, grade III complications occurred in four patients (16.7%) including hepatorenal syndrome (n=1), sepsis (n=1) and liver failure (n=2) (34).

An early prospective trial reported eleven patients with unresectable ICC, who underwent a median of three DEB-TACE sessions using DC Beads (Biocompatibles, BTG, UK) preloaded with doxorubicin (75 mg/2 mL). All patients had previously received systemic chemotherapy and/or

Table 2 Current level of evidence for the treatment of intrahepatic cholangiocarcinoma with drug-eluting beads-transcatheter arterial chemotherapy (DEB-TACE)

Investigators [year]	Study design	Anticancer agents (DEB-TACE)	Control groups	Study cohort (n)	Performance status	Extrahepatic lesions	Previous systemic chemotherapy	Adverse events (grade III/IV)	Median OS (mo from first DEB-TACE)	Ref.
Poggi <i>et al.</i> [2009]	Retrospective	Oxaliplatin	Systemic chemotherapy (FOLFOX)	9	ECOG 0–1	N/A	n=9 (100%)	No	30	(33)
Schiffman <i>et al.</i> [2011]	Prospective	Doxorubicin, irinotecan	No (systemic chemotherapy as literature review)	24	≥60% Kanowsky-Index	n=10	n=20 (83%)	Hepatorenal death (n=1), port sepsis (n=1), hepatic insufficiency (n=2)	17.5	(34)
Aliberti <i>et al.</i> [2008]	Prospective	Doxorubicin	No	11	≥60% Kanowsky-Index	N/A	n=11 (100%)	No	13	(29)
Kuhlmann <i>et al.</i> [2012]	Prospective	Irinotecan	DEB-TACE vs. cTACE vs. systemic chemotherapy	67	≥70% Kanowsky-Index	n=43 (n=11 in DEB-TACE group)	n=7 (10%) (n=5 in DEB-TACE group)	Abdominal pain (n=7), hepatic abscess (n=1), pleural empyema due to biliary leakage (n=1), death due to cholangitis (n=1)	11.7	(35)

OS, overall survival; mo, months; N/A, not available.

hepatic resection. The median OS was 13 months and tumor response was 100% according to RECIST (29). Another prospective series was designed to compare DEBIRI (irinotecan 200 mg; DC/LC Beads, Biocompatibles/BTG, UK; n=26) with cTACE (mitomycin-c 15 mg; gelfoam; n=10) and systemic chemotherapy (gemcitabine and oxaliplatin; n=31). Seven patients (26.9%) in the DEBIRI group had received prior chemotherapy. Compared to cTACE and systemic chemotherapy, DEBIRI revealed prolonged median OS (5.7 vs. 11 vs. 11.7 months) and was well-tolerated with only few reports on post-embolization syndrome (35) (Table 2).

Y90-RE—background

Y90-RE is a form of selective internal radiation therapy (SIRT). The concept consists of the intra-arterial delivery of small embolic particles (20–40 μm) containing the radionuclide Y90, that emits β-radiation (36). Usually, a target dose of 120 Gy is delivered, attaining much higher local doses compared to external-beam radiation. Moreover, external-beam radiation has had limited use in the therapy of liver malignancies because liver tissue is extremely radiation-sensitive and maximal tolerable doses remain far below tumoricidal levels (37). Hence, Y90-RE allows maximization of treatment efficacy while sparing the healthy liver parenchyma from radiation-induced injury.

Currently, two major devices are available: glass-based microspheres (TheraSphere, MDS, Nordion, Ottawa, Ontario, Canada) and resin-based microspheres (SIR-Sphere, Sirtex, New South Wales, Australia) (38). Due to the relatively small size of the microspheres, they only have limited embolizing properties but may contribute to a better penetration of the tumor. In further contrast to TACE, Y90-microspheres are commonly administered in a less selective, lobar fashion that provides better reproducibility over TACE procedures (39).

Given the small size and the severe radiation potency of Y90-particles, complications may derive from unintended extrahepatic deployment of the payload. One major complication is the development of gastroduodenal ulcers secondary to non-target Y90 administration, with varying incidences from 3% to 24% after Y90-RE (40). An unrecognized right gastric artery, proximal administrations and those resulting in stasis of flow present increased risk for ulceration refractory to medical management (41,42). Hence, the absence of angiographically concealed arterial shunts has to be approved prior to treatment. In particular,

all patients must be subjected to shunt evaluation using technetium-99 macroagglutinated albumin (Tc-MAA), SPECT and angiographic imaging (39,43).

In 1999, Canada was the first country to approve Y90-RE for palliative treatment of hepatic malignancies. In the U.S., the procedure is FDA-approved for the use in HCC only. Application in patients with other entities of liver cancer requires individual institutional review board approval (44).

Y90-RE—clinical evidence

Efficacy and safety of selective Y90-RE (SIR-Spheres) were retrospectively investigated in an adjuvant setting including 33 patients with cholangiocarcinoma. Prior treatment included hepatic resection in twelve patients (36%), systemic chemotherapy in 27 patients (79%) and TACE or RFA in five patients (15%). The study revealed a median OS of 22 months from the first procedure and time-to-progression was 9.8 months. Association of good ECOG performance status with prolongation of survival after Y90-RE was observed (ECOG 0: 29.4, ECOG 1: 10.0, ECOG 2: 5.1 months median OS; $P < 0.001$) (39). As for further prognostic factors, FDG-negative and small tumors and lower tumor load were reported to correlate with prolonged OS after Y90-RE (SIR-Spheres) in other studies (45).

The systematic review by Al-Adra *et al.* summarizes current clinical evidence available for the efficacy and toxicity of Y90-RE. Median OS and radiologic response were investigated as primary outcome and morbidity/mortality and the ability to convert unresectable to resectable ICC as secondary outcome. Briefly, a total of twelve studies ($n=5$ retrospective; $n=7$ prospective) was included amounting to a total of 298 patients. Weighted median OS was 15.5 months (range, 7–22.2 months), based on eleven included studies. For radiologic response analysis, RECIST or mRECIST ($n=7$), WHO ($n=1$) and PERCIST ($n=1$) were used. A pooled analysis revealed mean PR in 28% and SD in 54% of patients at a 3-month follow-up. Additionally, three studies reported successful downstaging of patients by Y90-RE with subsequent surgery in seven patients. The most common types of morbidity following Y90-RE were fatigue (33%), abdominal pain (28%) and nausea (25%) (46).

As surgery remains the only curative therapy for ICC, a larger retrospective trial investigated the ability of Y90-RE combined with systemic chemotherapy to convert patients with advanced stage ICC to resection. A total of 45 patients with unresectable ICC received the abovementioned

combination therapy and was compared to a total of 54 patients who underwent primary resection. Four patients (9%) were treated for tumor recurrence and 27 (60%) patients were classified as palliative candidates with multilocular disease. However, in eight of the remaining patients, ICC had developed in non-cirrhotic livers and was eventually treated with surgery curative intent. Prior to resection, these patients had received chemotherapy (5FU, platin, gemcitabine) in combination with Y90-RE (TheraSpheres). No grade III/IV complications were observed. As for downstaging of the tumors, significant volume reduction [295 (range, 90–1,250) *vs.* 168 (range, 46–535) mL; $P=0.02$] was observed during the median follow-up of 15.6 months (range, 4–40.7 months). Due to the tumor shrinkage, proximity of the central liver structures decreased in seven cases and the tumors became resectable. Six of these patients (85.7%) survived the postoperative period and one (14.3%) died 6.5 months after surgery at the age of 80 years with a median overall recurrence-free survival of 19.1 months (47).

In the first prospective series to report Y90-RE, 24 patients diagnosed with unresectable ICC were treated with glass-based Y90 particles (TheraSpheres) in one or two procedures each. Seven patients (29%) had prior chemotherapy and bilobar and extrahepatic disease were present in 16 (67%) and eight patients (33%), respectively. The median OS was 14.9 months and patients naive to systemic chemotherapy demonstrated a survival benefit compared to the previously treated group (31.8 *vs.* 4.4 months) (44). Another prospective study included 25 patients treated with resin-based Y90-RE (SIR-Spheres) for unresectable ICC. A total of 17 patients (68%) had received systemic chemotherapy and twelve patients (48%) were staged with extrahepatic metastases. The median OS was 9.3 months from the first RE and 1-, 2- and 3-year survival rates of 40%, 27% and 13%, respectively, were reported (48). A further prospective trial was conducted to examine feasibility and safety of resin-based Y90-RE (SIR-Spheres). Nineteen patients refractory to systemic chemotherapy were included and four (21.1%) had received additional DEB-TACE before. After a median of 1.6 procedures per patient, RECIST revealed SD in 68%, PR in 11% and PD in 21%. The 1-year survival rate was 56% and median OS was 11.5 months after the first Y90-RE (49). In a larger prospective series, 46 patients with local or infiltrative ICC underwent 92 Y90-RE procedures using glass-based TheraSpheres for comparison of survival rates. The median OS for patients with solitary tumors was

14.3 months and infiltrative disease revealed a median OS of 6.1 months. Five patients (10.9%) were deemed to curative resection after RE. The procedures were well-tolerated. However, abdominal pain was the most frequently reported side effect and present in 54% of patients (41). A prospective correlate study was conducted to assess effectiveness and tumor response to Y90-RE (SIR-Spheres) in a total of 21 patients with ICC and refractory to systemic chemotherapy. Extrahepatic disease was considered as an exclusion factor. Overall response rate (CR/PR) calculated by RECIST was 4.7% compared to 38% according to modified RECIST (mRECIST). The median OS was 16.3 months from first Y90-RE. There were no relevant toxicities reported (50).

As for imaging-based tumor response evaluation, newer studies have investigated the prognostic value of FDG-PET and PERCIST criteria in the setting of LRT. Haug *et al.* defined response to Y90-RE (SIR-Spheres) as a decrease of the SUV $\geq 30\%$ compared to baseline imaging. Hence, 19 (74%) of the 26 included patients were classified as responders in FDG-PET imaging at a 3-month follow-up. The results corresponded well with the median OS being 22.3 months for responders and 6.9 months for nonresponder ($P < 0.05$). However, the PET-based results showed good correlation with EASL criteria (PR/CR in $n=20$, 78%) but not with RECIST (available in $n=23$; PR in $n=5$, 22%; no CR) (51). More recently, FDG-PET and concomitant PERCIST criteria were used to evaluate response of ICC in 18 patients six weeks after Y90-RE with SIR-Spheres. PR was achieved in 14 patients (82.3%) and SD in three patients (17.6%). Similar to the aforementioned trial, imaging-based response evaluation corresponded well with the mean OS being 18.2 and 9.9 months for responders (SUV decrease $\geq 50\%$) and nonresponders, respectively (52).

A recent phase I trial investigated the concept of chemoradiation by using the radiosensitizing agent capecitabine in combination with Y90-RE. Its impact on the maximum tolerated Y90-dose (MTD-Y90) in glass-based RE (TheraSpheres) was evaluated in an escalating study design that included 17 patients with ICC or liver metastases. An MTD-Y90 > 170 Gy was reported and only two patients experienced dose-limiting toxicity. These results suggest radiosensitizing to be an option for Y90-dose escalation and subsequent improvement of technical efficacy (53).

A retrospective series from 2013 was designed to compare efficacy, morbidity and survival after different IAT procedures. A total of 198 patients with advanced ICC, who were primarily treated with a total of 464 IAT procedures

in five major hepatobiliary institutions in the US, were included. Patients were treated with cTACE ($n=128$, 64.7%); gemcitabine and cisplatin or doxorubicin, cisplatin and mitomycin-c), transarterial embolization (TAE) ($n=13$, 6.6%), DEB-TACE ($n=11$, 5.6%) or Y90-RE ($n=46$, 42.3%) and 30 patients (15%) had simultaneously received systemic chemotherapy. Generally, procedures were well-tolerated and only 16 patients (8.1%) reported major adverse events such as acute renal or hepatic failure. The median OS in the entire cohort was 13.2 months and no significant differences were observed stratified by the type of IAT (cTACE 13.4 *vs.* TAE 14.3 *vs.* DEB-TACE 10.5 *vs.* Y90-RE 11.3 months; $P=0.46$). According to mRECIST, 41 patients (25.5%) had CR or PR whereas 99 patients (61.5%) had SD and 21 patients (13%) revealed PD. Tumor response was associated with survival prolongation (CR/PR 32.4 months *vs.* PD 6.4 months; $P < 0.05$) (19). Similarly, a recently published meta-analysis compared hepatic artery-based therapies with median OS as the primary outcome and tumor response to therapy and toxicity as secondary endpoints. Overall, 20 articles including a total of 657 patients were analyzed. The highest median OS for i.a. chemoinfusion was reported to be 22.8 months (range, 9.8–35.8 months, 95% CI), 13.9 months (range, 9.5–18.3 months) for Y90-RE, 12.4 months (range, 10.9–13.9 months) for cTACE and 12.3 months (range, 11–13.5 months) for DEB-TACE. Response to therapy as defined by CR and PR according to RECIST was highest in i.a. chemoinfusion $>$ Y90-RE $>$ cTACE $>$ DEB-TACE. However, grade III/IV complication rate was highest in i.a. chemoinfusion $>$ cTACE $>$ DEB-TACE (54) (Table 3).

Conclusions

In summary, despite the lack of randomized controlled trials, current literature indicates evidence in support of the use of LRT for patients with unresectable ICC. In particular, IAT have proven feasible, safe and effective in inducing local tumor response. Current clinical evidence suggests prolonged survival without severe impairment of the quality of life of patients in a palliative setting. Additionally, some studies report survival benefits for IAT over systemic chemotherapy and the ability of downstaging tumors until eligible to resection. Besides minimal invasiveness, the main advantages of IAT result from selective targeting of the tumor: locally increased drug concentrations in the tumor whilst avoiding systemic toxicity. Although Y90-RE is increasingly accepted, cTACE remains the most frequently

Table 3 Current level of evidence for the treatment of intrahepatic cholangiocarcinoma with Yttrium-90 radioembolization (Y90-RE)

Investigators [year]	Study design	Spheres (Y90-RE)	Study cohort (n)	ECOG status	Extrahepatic lesions	Previous systemic chemotherapy	Adverse events (grade III/IV)	Median OS (months from first Y90-RE)	Ref.
Hoffmann et al. [2012]	Retrospective	SIR-Spheres	33	0-2	n=8 (24%)	n=27 (79%)	No	22	(39)
Rayar et al. [2015]	Retrospective	TheraSpheres	45	0-2	n=27 (60%)	Yes	No	N/A	(47)
Ibrahim et al. [2008]	Prospective	TheraSpheres	24	0-2	n=8 (33%)	n=7 (29%)	Albumin toxicities (n=4, 17%), bilirubin toxicity (n=1, 4%), refractory gastroduodenal ulcer (n=1, 4%); (clinical toxicity grading N/A)	14.9	(44)
Saxena et al. [2010]	Prospective	SIR-Spheres	25	0-2	n=12 (48%)	n=17 (68%)	Albumin and bilirubin toxicity (n=2, 8%), alkaline phosphatase toxicity (n=1, 4%); (clinical toxicity grading N/A)	9.3	(48)
Rafi et al. [2013]	Prospective	SIR-Spheres	19	0-2	No	n=19 (100%)	Bilirubin toxicity (n=1, 5%), thrombocytopenia (n=1, 5%)	11.5	(49)
Mouli et al. [2013]	Prospective	TheraSpheres	46	0-2	No	No	Albumin toxicity (n=4, 9%), bilirubin toxicity (n=3, 7%), refractory gastroduodenal ulcer (n=1, 2%)	6.1	(41)
Camacho et al. [2014]	Prospective	TheraSpheres	21	0-2	No	n=21 (100%)	N/A	16.3	(50)

OS, overall survival; mo, months; N/A, not available.

used IAT procedure in the palliative therapy of ICC. Except for minor toxicities subject to the post-embolization syndrome, embolization procedures are predominantly well-tolerated. However, prospective randomized trials and meta-analyses are needed to definitely establish the impact of IAT on patient survival. To broaden the scope of application, the outcomes of different IAT modalities ought to be standardized and compared and indications have to be clearly defined.

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Footnote

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